



Delfi Corporate Services Limited

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SERVICES FOR FINANCIAL COMPANIES AND FUNDS

Recent changes in European legislation with regard to the financial markets, lead to increase in regulation of different parts of the financial services firms.

REGULATORY COMPLIANCE has become more than a legal requirement: it's also good business practice and your reputation. It can actually be a differentiator for your business, demonstrating credibility to investors and counterparties.

We formed our company in 2008 with the view to support the international business structured via Cyprus investment Firms, Cyprus-registered funds and offshore, by reducing the burden of regulation from our clients so that regulatory compliance is an activity that contributes, not detracts, our clients from their actual business.

We focus our experience and resources on your business to help identify the key compliance risks, support in managing and controlling those risks. We believe that our hands-on approach is unique and we are partnering with our clients for unrivalled value and contribution to their businesses' efficiency.

We work with Brokers, Dealers and Traders across a range of different markets.

OUR SERVICES cover firms offering brokerage, asset management, investment advice, as well as doing proprietary trading. We have expertise in OTC and exchange based transactions and our clients work in a range of markets including Equities, Derivatives, Commodities, CFDs.

WE CAN HELP BROKERAGE FIRMS, ASSET MANAGERS AND ADVISORS IN A NUMBER OF WAYS:

- Setting -up in Cyprus and Company's Law compliance support
- Introduction to committed and established companies across a range of professional disciplines, such as auditors, accountants, lawyers, banks, recruitment agencies
- Assisting in licensing procedure, recruit management and get your Cyprus project underway.
- Once you're established, we can provide one of our senior consultants to sit on your Cyprus board as Independent Director and/or to adopt the required regulatory positions for certain compliance functions such as being responsible for Money Laundering and Compliance oversight
- Defining the Compliance Monitoring Programme, Procedures Manual and related documentation
- Reviewing the current compliance status and providing clear guidance on the steps required to be compliant
- Carrying our regular audits, Mystery shopping or monitoring of Procedures Manual adherence
- Anti-Money Laundering Regulation guidance & training
- Internal Audit of the licensed firms
- Assisting with COREP reporting and capital adequacy issues

We are looking forward to help you manage your regulatory obligations.

If you are interested in any of above services or have any other enquires with regard to the establishments and running of international financial vehicles, please contact do not hesitate to contact us. We speak Russian, Ukrainian, English, and Greek.